Motor imagery on upper extremity function for persons with stroke: a systematic review and meta-analysis

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Objective: The purpose of this review was to investigate whether motor imagery training has an effect on the recovery of upper extremity function in individuals with hemiparetic stroke or not.

Design: A systematic review and meta-analysis.

Methods: PubMed and three other databases were searched up to December 18th, 2018 and randomized controlled trials (RCTs) evaluating motor imagery training on upper extremity function in persons with a diagnosis of hemiparetic stroke were included. This review selected the following information from each study: surname of the first author, published year, nation, population, intervention, therapeutic intensity of intervention, therapeutic comparison, outcome measures, additional therapy, summary of results, and descriptive statistics of outcome measures.

Results: This review selected seventeen RCTs with 487 stroke survivors and the following intervention methods: six motor imagery training with additional therapeutic technology, two motor imagery training with additional modified constraint-induced therapy, four mirror therapy, and five motor imagery training. Ten RCTs were eligible for meta-analysis after systematic review. The motor imagery group were more effective than the control group based on the Fugl-Meyer assessment (3.43; 95% confidence interval [CI], 1.65 to 5.22; heterogeneity [$\chi^2=8.03$, $df=8$, $I^2=0\%$], test of overall effect $Z=3.76$; test for subgroup differences [$\chi^2=2.56$, $df=2$, $I^2=21.8\%$]) and the Action Research Arm Test (1.32; 95% CI, -8.12 to 10.76; heterogeneity [$\tau^2=70.74$, $\chi^2=15.22$, $df=3$, $I^2=80\%$], test of overall effect $Z=3.76$).

Conclusions: The results of this review suggests that motor imagery shows positive effectiveness on improving upper extremity function in persons with hemiparetic stroke.

Key Words: Imagery, Stroke, Upper extremity

Introduction

Ninety percent of stroke survivors suffer from persistent neurological motor deficits leading to disabilities and dysfunction caused by muscle weakness, loss of manual dexterity, muscle stiffness, pain, somatosensory impairments, visual impairments, spasticity, muscle contracture, and so on [1]. Among stroke survivors, recovery of function in the hemiparetic upper extremity has been noted to be fewer than 15% [2]. Stroke survivors often compensate for their paretic upper extremity by using their intact limb in the perform-

ance of daily activities [3]. The compensation for the paretic upper limb can lead to the learned non-use phenomenon. Bimanual activities of both hands or unilateral activities of the paretic upper limb from the beginning of rehabilitation should be emphasized to gain optimal recovery and to prevent the learned non-use phenomenon.

Motor imagery is a representation of a movement in working memory without motor output, and is a multi-dimensional process that includes sensation, perception, attention, cognition, planning and programming, visuospatial reasoning, and memory [4-6]. Certain brain areas are acti-

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vated at the beginning of the motor programming when imagining a physical movement. The neural circuits involve the primary motor cortex, the supplementary motor area, the premotor area, and the inferior parietal cortex [7]. Several studies have been conducted on motor imagery training to improve the paretic upper limb function and independent daily activities for persons with stroke [4,6,8,9]. Motor imagery training has been provided in a variety of ways, including motor imagery only, motor imagery with repetitive task-oriented approaches, motor imagery with electrical stimulation, and motor imagery with brain-computer interface in order to overcome the limitations following a stroke event [4,10-14].

This review was conducted to determine the effectiveness of motor imagery on the recovery of upper limb function compared to other therapeutic methods in persons who have had a stroke regardless of training types. The heterogeneity of the intervention types from the motor imagery only and motor imagery with brain computer interface intervention techniques used in order to improve the upper limb function of stroke survivors was also investigated.

Methods

The review was conducted in line with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) Statement for systematic review and meta-analysis. The search strategy of the review was performed by two researchers. To appraise and synthesize research-based evidence of the motor imagery on upper extremity function for stroke survivors, this review was according to the patient/participants/population/problem, intervention, comparison, outcome with timing, setting and study design.

Search strategy

The review protocol registered on PROSPERO (registration number: CRD42018109339) was based on the PRISMA. This review was conducted in accordance with the checklist of the PRISMA. The review was conducted from randomized controlled trials (RCTs) published up to December 18th, 2018 found through four academic electronic databases, including PubMed, EMBASE, ProQuest, and EBSCO. The search strategy was a combination of the following MeSH terms and related terms: Stroke or CVA or brain vascular accident, Upper Extremity or Upper Limb, Imagery, and Randomized Controlled Trials or Randomized Clinical Trials. The search was restricted to studies in humans and

those written in English only. In addition, the reference lists of all identified relevant publications were reviewed.

Screening and eligibility of the selected studies

After searching the studies through four databases and summing up the searched studies, we removed duplicate studies used on the title lists of selected studies. According to the topics of stroke, motor imagery, and upper extremity, two authors independently reviewed and determined whether the selected studies were acceptable or not. The studies were independently screened to see if they had met the inclusion criteria based on their titles and abstracts. The inclusion criteria were as follows: (1) the participants had a primary diagnosis of stroke without other neurological diseases; (2) RCTs that evaluated the effect of motor imagery on upper extremity function with the inclusion of a control group; (3) the study was written in English only; (4) human studies; and (5) the study was published as the full reports only. To be considered as a meta-analysis, studies were excluded if they did not include data that allowed the calculation of standard errors for effect estimates on motor imagery training for stroke.

Collected data

In the systematic review, the review extracted the surname of the first author, year, country and therapeutic setting, number of participations, intervention type, dosage and frequency of the interventions, comparison, outcome measures, additional therapy and summary of results. To analyze the risk of bias, we collected studies with random sequence generation, allocation concealment, blinding of patients, blinding of outcome assessment, incomplete outcome data, and selective reporting. We also extracted the mean and standard deviation of each outcome measure to conduct a meta-analysis.

Data analysis

The review was analyzed using the RevMan 5.3 (<http://ims.cochrane.org/revman>) program. The risk of bias was analyzed (selection bias, performance bias, detection bias, attrition bias, and reporting bias). To assess the effect estimate of the selected RCTs, the mean and standard deviation values were pooled to obtain the mean difference and 95% confidence intervals (CI). The Higgins I^2 -statistic was performed to examine for heterogeneity. Heterogeneity of the pooled RCTs was divided into low level (I^2 of 25% to 50%), moderate level (I^2 of values of 50% to 75%), and high level

(>75%). This review used fixed-effect models if the I^2 test was not significant (p for heterogeneity < 0.1), but random effect models used were significantly different.

Results

Literature search and characteristics of the included RCTs

Based on the initial search strategies, the review retrieved a total of 434 RCTs from four mainstream electronic databases but 354 records were removed due to duplication. Subsequently, 299 articles of the 354 were excluded based on the titles and abstracts, and 55 RCTs full-text articles were assessed for eligibility for the following reasons: 231 were non-RCTs, 33 were systematic reviews, 27 were grey literature, 5 were cross-over studies, and 3 studies were non-English. Then, 38 full-text articles of the 55 were excluded for the following reasons; 9 were Quasi-RCTs, 20 did not have relevant outcomes, and 9 articles did not have therapeutic effects (Figure 1).

Motor imagery training on upper extremity function for stroke survivors

This review selected seventeen RCTs with 487 persons with hemiparetic stroke. The selected studies involved three different intervention types including motor imagery with addition of another intervention, motor imagery only, and

mirror therapy. To assess the therapeutic effects of motor imagery training, the involved articles used variable outcome measures, such as the Fugl-Meyer assessment for upper extremity function (FMA-UE), the Action Research Arm Test (ARAT), Fugl-Meyer Assessment-motor recovery, Motor Activity Log, Jebson-Taylor Hand Function Test, Wolf Motor Function Test, stroke recovery (Brunnstrom's recovery stage, Modified Ashworth Scale, Stroke Impact Scale), activities of daily living (Functional Independent Measure, modified Barthel Index), Star Cancellation Test, motor-evoked potentials, and positron emission tomography. All selected studies reported the positive effects of motor imagery training compared to the control group treatment except for only one study (Table 1).

Risk of bias in all included RCTs

All involved RCTs showed low risk in random sequence generation, allocation concealment, incomplete outcome data, and selective reporting. Nine studies showed a high risk of bias due to having a single-blinded study design [4,6,15-21]. One study showed a low bias risk in selection, performance, detection, attrition, and reporting bias [22]. Seven studies did not mention blinding of participations and personnel and so there were insufficient information to permit the judgement of whether they had a 'low risk' or 'high risk' of bias [5,8,9,23-26]. Four studies did not address

Figure 1. Flow diagram of included studies in the review. RCT: randomized controlled trial.

Table 1. Collected data based on participants, intervention, comparison, and outcome of selected studies

Author, year	Country, setting	No. of participants	Intervention	Therapeutic intensity	Comparison	Outcome measures	Additional therapy	Summary of results
Ang, 2015	Singapore, inpatient rehab. unit	26	EEG-based MI-BCI	12 sessions, 90 min	Manus	BCI screening FMA-UE	Rehab. Therapies/medications	More improved
Ang, 2014	Singapore, outpatient	21	EEG-based MI-BCI	18 sessions, 60 min	CG1: Haptic knob robot	FMA-UE	Therapist-assisted arm mobilization, 30 min	More effective
Ang, 2009	Singapore, rehab. unit	18	MI-BCI	12 sessions, 60 min	CG2: Standard arm therapy	FMA-UE	No mentioned	More improved
Arya, 2015	India, rehab. Institute	33	Task based mirror therapy	40 sessions, 90 min	Conventional therapy	Brunnstrom stage, FMA-UE	Conventional therapy	More improved
Frolov, 2017	Russia, rehab. units	74	MI-based BCI	10 sessions, 30 min	Placebo-controlled	ARAT, FMA-motor recovery	No mentioned	More improved
Hemmen, 2007	Netherlands, rehab. centers	27	MI with EMG-triggered ES	60 sessions, 30 min	ES	FMA-UE, ARAT	Usual therapy	No significant differences
Hong, 2012	Republic of Korea, rehab. unit	14	MI with EMG-triggered ES	40 sessions, 20 min	FES	FMA-UE, MAL, MBI, PMT	No mentioned	More improved
Invernizzi, 2013	Italy, rehab. unit	26	Mirror therapy	8 sessions, 30 min	Neurorehabilitative techniques, ES, occupational therapy	ARAT, FIM, Motricity Index	Conventional therapy, 20 sessions, 60 min	More improved
Kim, 2018	Republic of Korea, university hospital	14	MP with mCIT	10 sessions, 10 min (MP)+ 60 min (mCIT)	mCIT	3-dimensional feeding task, JTHFT, MAL, MEP	Restraint of unaffected hands for more than 6 h a day 5 d per wk for 2 wk	More improved
Kim, 2015	Republic of Korea, university hospital	24	Motor imagery therapy	12 sessions, 30 min	No mentioned on type, but dose (30 min), frequency (3 times per wk, for 4 wk)	FMA-UE, WMFT	Conventional physical therapy, 20 sessions, 30 min	More improved
Li, 2018	China, rehab. center	20	MI	20 sessions, 45 min	Traditional rehab. training	ARAT, FMA-UE, DTI	Traditional rehab. Training, 20 sessions, 45 min	More improved
Nilsen, 2012	USA, Community	19	EG1: mental practice internal EG2: mental practice external	12 sessions, 18 min	Relaxation imagery training	FMA-UE, JTHFT, COPM	Occupational therapy 12 sessions, 30 min	More improved, but no significantly different between EG1 and EG2
Page, 2011	USA, rehab. clinics	29	EG1: 20 min MP EG2: 40 min MP EG3: 60 min MP	30 sessions	20 min Sham MP	ARAT, FMA-UE	Task-specific practice, 30 min	More improved
Page, 2009	USA, therapy clinics	10	mCIT-mental practice	30 sessions, 30 min	mCIT	ARAT, FMA-UE	Restraint of unaffected hands & wrists every weekday for 5 h, homework program	More improved

Table 1. Continued

Author, year	Country, setting	No. of participants	Intervention	Therapeutic intensity	Comparison	Outcome measures	Additional therapy	Summary of results
Page, 2007	USA, hospitals	32	Mental practice therapy	12 sessions, 30 min	Placebo-controlled therapy	ARAT, FMA-UE	Not perform additional physical practice	More improved
Thieme, 2012	Germany inpatient rehab. center	60	EG1: Individual Mirror therapy EG2: Group mirror therapy	20 sessions, 30 min	Sham mirror protocol	ARAT, Barthel index, FMA-UE, SIS, SCT	Regular therapy	More improved on visuospatial neglect only
Yavuzer, 2008	Turkey, rehab hospital	40	Mirror therapy	20 sessions, 30 min	Sham therapy	Brunnstrom stage, MAS, FIM-self care	Conventional therapy	More improved

Rehab.: rehabilitation, EEG: electroencephalography, MI: motor imagery, BCI: brain-computer interface, EMG: electromyography, ES: electrical stimulation, MP: mental practice, mCIT: modified constraint-induced therapy, EG: experimental group, CG: control group, FES: functional electrical stimulation, FMA-UE: Fugl-Meyer assessment for upper extremity function, ARAT: Action Research Arm Test, MBI: modified Barthel Index, MAL: Motor Activity Log, PMT: positron mission tomography, FIM: Functional Independent Measure, JTHFT: Jebson-Taylor Hand Function Test, MEP: motor-evoked potential, WMFT: Wolf Motor Function Test, DTI: diffusion tensor imaging, COPMI: Canadian occupational performance measure, SIS: Stroke Impact Scale, SCT: Star Cancellation Test, MAS: Modified Ashworth Scale.

blinding of the outcome assessment [8,9,23,25], and one study had no blinding of the outcome assessment, and the outcome measurement was likely to be influenced by lack of blinding [26]. The other twelve studies reported blinding of the outcome assessment.

Effectiveness of motor imagery on upper limb function in the RCTs

Nine RCTs involving 256 stroke patients assessed the FMA-UE, and reported improvements in FMA-UE scores after motor imagery training compared with the control group. A fixed-effect model was selected based on the results of significant heterogeneity (3.43; 95% CI, 1.65 to 5.22; heterogeneity [$\chi^2=8.03$, $df=8$, $I^2=0\%$]), test of overall effect $Z=3.76$; test for subgroup differences [$\chi^2=2.56$, $df=2$, $I^2=21.8\%$] (Figure 2). Four RCTs involving 145 subjects with stroke assessed the ARAT and reported improvements in ARAT scores after motor imagery training compared with the control group. A random-effect model was selected based on the results of significant heterogeneity (1.32; 95% CI, -8.12 to 10.76; heterogeneity [$\tau^2=70.74$, $\chi^2=15.22$, $df=3$, $I^2=80\%$], Test of overall effect $Z=3.76$) (Figure 3).

Discussion

This review was conducted to determine the effects of motor imagery on the recovery of upper limb function in persons affected by stroke. Several studies have reported that the effectiveness of motor imagery training as well as motor imagery with brain-computer interface and motor imagery triggered electrical stimulation on upper extremity function in activities of daily living and functional activities for stroke survivors [14,22,27-29]. They provided several therapeutic types to be conducted with motor imagery to improve upper limb function. We also tried to investigate whether the modes of motor imagery would render therapeutic effects to be different on upper extremity function for stroke or not. Regardless of the training types, motor imagery showed beneficial effectiveness on upper extremity function for stroke survivors based on improved FMA-UE and ARAT scores from the nine RCTs.

Stroke survivors are involved in rehabilitation settings for extended periods of time that causes them to suffer from limited physical functionality of their upper extremity following stroke. In rehabilitation and clinical settings, various therapeutic approaches have been provided to overcome the

Figure 2. Forest plot of Fugl-Meyer assessment between motor imagery training and control group. A: random sequence generation, B: allocation concealment, C: blinding of participants and personnel, D: blinding of outcome assessment, E: incomplete outcome data, F: selective reporting.

Figure 3. Forest plot of the Action Research Arm Test between the motor imagery training and control group. A: random sequence generation, B: allocation concealment, C: blinding of participants and personnel, D: blinding of outcome assessment, E: incomplete outcome data, F: selective reporting.

limitations in activity performance and participation restrictions due upper limb dysfunction after stroke [8,30-32]. Although these therapeutic approaches have been reported to have feasibility, positive effects, or no treatment effects on upper extremity function for stroke, these approaches have involved repetitive passive or active physical activities and so the participants had some preceding motor function to be able follow the training protocols. Motor imagery of movement is an active, cognitive process used to investigate the content and structure of covert processes that precede the execution of action, and so the training protocol will provide a relatively lower level of mo-

tor function or acute stage of the disease following stroke [33-35]. When paired with conventional therapeutic interventions, motor imagery training can be as effective as pure conventional therapeutic interventions for recovery following stroke [8,14,27,35]. The results of this review also showed that motor imagery training with other therapeutic interventions based on motor function could exhibit positive effects on upper limb function following stroke. The positive effects after motor imagery training was the same regardless of what type of motor imagery protocol was provided to im-

prove upper limb function.

This review was conducted to determine the effects of motor imagery training on upper limb function compared to other methods, such as conventional therapy, sham therapy, placebo, and so on following stroke. The review did not consider the type of motor imagery protocol, therapeutic intensity, and post-duration of stroke. Further research will be needed to consider the therapeutic intensity or the duration of treatment after a stroke event. Further studies should also consider when to implement a therapeutic intervention to improve upper limb function for daily and functional activities in persons with stroke in clinical rehabilitation settings.

Conflict of Interest

The authors declared no potential conflicts of interest with respect to the authorship and/or publication of this article.

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